# **Asthma Measures**

Core and Optional Process and Outcome

# National Improvement Partnership Network



#### **Appendices**

Appendix A – Asthma Care Quick Reference: Diagnosing and Managing Asthma

- Appendix B Asthma Control Test<sup>TM</sup> (ACT)
- Appendix C Childhood Asthma Control Test for children 4-11 years
- Appendix D –Test for Respiratory and Asthma Control in Kids (TRACK<sup>TM</sup>)
- Appendix E Pediatric/Adolescent Asthma Therapy Assessment Questionnaire (ATAQ)
- Appendix F Adult Asthma Therapy Assessment Questionnaire (ATAQ)
- Appendix G Asthma Action Plan

Appendix H – CAHPS<sup>®</sup> Clinician & Group Surveys, version: 12-Month Survey with Patient-Centered Medical Home (PCMH) Items

# Asthma Care Quick Reference

DIAGNOSING AND MANAGING ASTHMA

Guidelines from the National Asthma Education and Prevention Program EXPERT PANEL REPORT 3

The goal of this asthma care quick reference guide is to help clinicians provide quality care to people who have asthma.

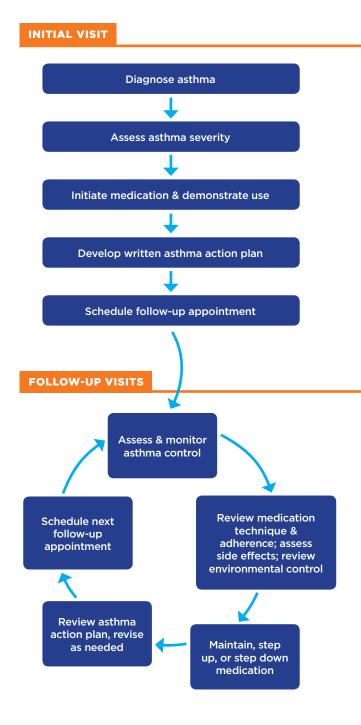
Quality asthma care involves not only initial diagnosis and treatment to achieve asthma control, but also long-term, regular follow-up care to maintain control.

Asthma control focuses on two domains: (1) **reducing impairment**—the frequency and intensity of symptoms and functional limitations currently or recently experienced by a patient; and (2) **reducing risk**—the likelihood of future asthma attacks, progressive decline in lung function (or, for children, reduced lung growth), or medication side effects.

Achieving and maintaining asthma control requires providing appropriate medication, addressing environmental factors that cause worsening symptoms, helping patients learn selfmanagement skills, and monitoring over the long term to assess control and adjust therapy accordingly.

The diagram (right) illustrates the steps involved in providing quality asthma care.

This guide summarizes recommendations developed by the National Asthma Education and Prevention Program's expert panel after conducting a systematic review of the scientific literature on asthma care. See **www.nhlbi.nih.gov/guidelines/asthma** for the full report and references. Medications and dosages were updated in September 2011 for the purposes of this quick reference guide to reflect currently available asthma medications.





**U.S. Department of Health and Human Services** National Institutes of Health

National Heart, Lung, and Blood Institute

#### **KEY CLINICAL ACTIVITIES FOR QUALITY ASTHMA CARE**

(See complete table in Expert Panel Report 3: Guidelines for the Diagnosis and Management of Asthma [EPR-3])

Clinical Issue	Key Clinical Activities and Action Steps
-> ASTHMA DIAGN	NOSIS
	Establish asthma diagnosis.
	<ul> <li>Determine that symptoms of recurrent airway obstruction are present, based on history</li> </ul>
	<ul> <li>and exam.</li> <li>History of cough, recurrent wheezing, recurrent difficulty breathing, recurrent</li> </ul>
	<ul> <li>chest tightness</li> <li>Symptoms occur or worsen at night or with exercise, viral infection, exposure to allerger and irritants, changes in weather, hard laughing or crying, stress, or other factors</li> </ul>
	<ul> <li>In all patients ≥5 years of age, use spirometry to determine that airway obstruction is at least partially reversible.</li> </ul>
	<ul> <li>Consider other causes of obstruction.</li> </ul>
-> LONG-TERM AS	STHMA MANAGEMENT
GOAL:	Reduce Impairment
Asthma Control	<ul> <li>Prevent chronic symptoms.</li> </ul>
	<ul> <li>Require infrequent use of short-acting beta<sub>2</sub>-agonist (SABA).</li> <li>Maintain (near) normal lung function and normal activity levels.</li> </ul>
	Reduce Risk
	<ul> <li>Prevent exacerbations.</li> </ul>
	<ul> <li>Minimize need for emergency care, hospitalization.</li> </ul>
	<ul> <li>Prevent loss of lung function (or, for children, prevent reduced lung growth).</li> <li>Minimize adverse effects of therapy.</li> </ul>
Assessment	INITIAL VISIT: Assess asthma severity to initiate treatment (see page 5).
and Monitoring	FOLLOW-UP VISITS: Assess asthma control to determine if therapy should be adjusted (see page 6).
	<ul> <li>Assess at each visit: asthma control, proper medication technique, written asthma action plan, patient adherence, patient concerns.</li> </ul>
	<ul> <li>Obtain lung function measures by spirometry at least every 1–2 years; more frequently for asthma that is not well controlled.</li> </ul>
	<ul> <li>Determine if therapy should be adjusted: Maintain treatment; step up, if needed; step down, if possible.</li> </ul>
	Schedule follow-up care.
	<ul> <li>Asthma is highly variable over time. See patients:</li> <li>Every 2–6 weeks while gaining control</li> <li>Every 1–6 months to monitor control</li> </ul>
	Every 3 months if step down in therapy is anticipated
Use of	Select medication and delivery devices that meet patient's needs and circumstances.
Medications	<ul> <li>Use stepwise approach to identify appropriate treatment options (see page 7).</li> </ul>
	<ul> <li>Inhaled corticosteroids (ICSs) are the most effective long-term control therapy.</li> </ul>
	<ul> <li>When choosing treatment, consider domain of relevance to the patient (risk, impairment, or both), patient's history of response to the medication, and willingness and ability to use the medication.</li> </ul>
	Review medications, technique, and adherence at each follow-up visit.

#### KEY CLINICAL ACTIVITIES FOR QUALITY ASTHMA CARE (continued)

Clinical Issue	Key Clinical Activities and Action Steps
Patient	Teach patients how to manage their asthma.
Education for Self-Management	<ul> <li>Teach and reinforce at each visit:</li> </ul>
Jen-Hanagement	<ul> <li>Self-monitoring to assess level of asthma control and recognize signs of worsening asthma (either symptom or peak flow monitoring)</li> </ul>
	<ul> <li>Taking medication correctly (inhaler technique, use of devices, understanding difference between long-term control and quick-relief medications)</li> </ul>
	<ul> <li>Long-term control medications (such as inhaled corticosteroids, which reduce inflammation) prevent symptoms. Should be taken daily; will not give quick relief.</li> </ul>
	<ul> <li>Quick-relief medications (short-acting beta<sub>2</sub>-agonists or SABAs) relax airway muscles to provide fast relief of symptoms. Will not provide long-term asthma control. If used &gt;2 days/week (except as needed for exercise-induced asthma), the patient may need to start or increase long-term control medications.</li> </ul>
	Avoiding environmental factors that worsen asthma
	<b>Develop a written asthma action plan</b> in partnership with patient/family (sample plan available at <i>www.nhlbi.nih.gov/health/public/lung/asthma/asthma_actplan.pdf</i> ).
	<ul> <li>Agree on treatment goals.</li> </ul>
	<ul> <li>Teach patients how to use the asthma action plan to:</li> </ul>
	Take daily actions to control asthma
	Adjust medications in response to worsening asthma
	Seek medical care as appropriate
	<ul> <li>Encourage adherence to the asthma action plan.</li> <li>Choose treatment that achieves outcomes and addresses preferences important to the patient/family.</li> </ul>
	<ul> <li>Review at each visit any success in achieving control, any concerns about treatment, any difficulties following the plan, and any possible actions to improve adherence.</li> </ul>
	<ul> <li>Provide encouragement and praise, which builds patient confidence. Encourage family involvement to provide support.</li> </ul>
	Integrate education into all points of care involving interactions with patients.
	<ul> <li>Include members of all health care disciplines (e.g., physicians, pharmacists, nurses, respiratory therapists, and asthma educators) in providing and reinforcing education at all points of care.</li> </ul>
Control of Environmental	Recommend ways to control exposures to allergens, irritants, and pollutants that make asthma worse.
Factors and Comorbid Conditions	<ul> <li>Determine exposures, history of symptoms after exposures, and sensitivities. (In patients with persistent asthma, use skin or in vitro testing to assess sensitivity to perennial indoor allergens to which the patient is exposed.)</li> </ul>
	<ul> <li>Recommend multifaceted approaches to control exposures to which the patient is sensitive; single steps alone are generally ineffective.</li> </ul>
	• Advise all asthma patients and all pregnant women to avoid exposure to tobacco smoke.
	<ul> <li>Consider allergen immunotherapy by trained personnel for patients with persistent asthma when there is a clear connection between symptoms and exposure to an allergen to which the patient is sensitive.</li> </ul>
	Treat comorbid conditions.
	<ul> <li>Consider allergic bronchopulmonary aspergillosis, gastroesophageal reflux, obesity, obstructive sleep apnea, rhinitis and sinusitis, and stress or depression. Treatment of these conditions may improve asthma control.</li> </ul>
	<ul> <li>Consider inactivated flu vaccine for all patients &gt;6 months of age.</li> </ul>

Clinical Issue	Key Clinical Activities and Action Steps
Exercise-Induced Bronchospasm	<ul> <li>Prevent EIB.*</li> <li>Physical activity should be encouraged. For most patients, EIB should not limit participation in any activity they choose.</li> <li>Teach patients to take treatment before exercise. SABAs* will prevent EIB in most patients; LTRAs,* cromolyn, or LABAs* also are protective. Frequent or chronic use of LABA to prevent EIB is discouraged, as it may disguise poorly controlled persistent asthma.</li> <li>Consider long-term control medication. EIB often is a marker of inadequate asthma control and responds well to regular anti-inflammatory therapy.</li> <li>Encourage a warm-up period or mask or scarf over the mouth for cold-induced EIB.</li> </ul>
Pregnancy	<ul> <li>Maintain asthma control through pregnancy.</li> <li>Check asthma control at all prenatal visits. Asthma can worsen or improve during pregnancy; adjust medications as needed.</li> <li>Treating asthma with medications is safer for the mother and fetus than having poorly controlled asthma. Maintaining lung function is important to ensure oxygen supply to the fetus.</li> <li>ICSs* are the preferred long-term control medication.</li> <li>Remind patients to avoid exposure to tobacco smoke.</li> </ul>

#### ASTHMA CARE FOR SPECIAL CIRCUMSTANCES

#### **MANAGING EXACERBATIONS**

Clinical Issue	Key Clinical Activities and Action Steps						
Home Care	<b>Develop a written asthma action plan</b> (see Patient Education for Self-Management, page 3).						
	<ul> <li>Teach patients how to:</li> <li>Recognize early signs, symptoms, and PEF* measures that indicate worsening asthma.</li> </ul>						
	<ul> <li>Adjust medications (increase SABA* and, in some cases, add oral systemic corticosteroids) and remove or withdraw from environmental factors contributing to the exacerbation.</li> </ul>						
	<ul> <li>Monitor response.</li> </ul>						
	<ul> <li>Seek medical care if there is serious deterioration or lack of response to treatment. Give specific instructions on who and when to call.</li> </ul>						
Urgent or Emergency Care	Assess severity by lung function measures (for ages $\ge$ 5 years), physical examination, and signs and symptoms.						
	<ul> <li>Treat to relieve hypoxemia and airflow obstruction; reduce airway inflammation.</li> <li>Use supplemental oxygen as appropriate to correct hypoxemia.</li> <li>Treat with repetitive or continuous SABA,* with the addition of inhaled ipratropium bromide in severe exacerbations.</li> <li>Give oral systemic corticosteroids in moderate or severe exacerbations or for patients who fail to respond promptly and completely to SABA.</li> <li>Consider adjunctive treatments, such as intravenous magnesium sulfate or heliox, in severe exacerbations unresponsive to treatment.</li> </ul>						
	Monitor response with repeat assessment of lung function measures, physical examination, and signs and symptoms, and, in emergency department, pulse oximetry.						
	<ul> <li>Discharge with medication and patient education:</li> <li>Medications: SABA, oral systemic corticosteroids; consider starting ICS*</li> <li>Referral to follow-up care</li> <li>Asthma discharge plan</li> <li>Review of inhaler technique and, whenever possible, environmental control measures</li> </ul>						

INITIAL VISIT: CLASSIFYING ASTHMA SEVERITY AND INITIATING THERAPY (in patients who are not currently taking long-term control medications)

exacerbations). Assess impairment by patient's or caregiver's recall of events during the previous 2-4 weeks; assess risk over the last year. Recommendations for initiating therapy Level of severity (Columns 2-5) is determined by events listed in Column 1 for both impairment (frequency and intensity of symptoms and functional limitations) and risk (of based on level of severity are presented in the last row.

									Persistent				
	Components of		Intermittent			Mild			Moderate			Severe	
	Severity	Ages 0-4 years	Ages 5-11 years	Ages ≥12 years	Ages 0-4 years	Ages 5-11 years	Ages ≥12 years	Ages 0-4 years	Ages 5-11 years	Ages ≥12 years	Ages 0-4 years	Ages 5-11 years	Ages ≥12 years
	Symptoms		≤2 days/week		>2 day	>2 days/week but not daily	daily		Daily		Ť	Throughout the day	ay
	Nighttime awakenings	0	≤2x/month	ionth	1-2x/month	3-4x/month		3-4x/month	>1x/week b	>1x/week but not nightly	>1x/week	Often 7	Often 7x/week
tue	SABA* use for symptom control (not to prevent EIB*)		≤2 days/week		>2 days/week but not daily	>2 days/week but not daily and not more than once on any day			Daily		Se	Several times per day	day
əmriso	Interference with normal activity		None		2	Minor limitation			Some limitation			Extremely limited	σ
վալ	Lung function		Normal FEV <sub>1</sub> between exacerbations	Normal FEV <sub>1</sub> between exacerbations	•••••								
	FEV <sup>*</sup> (% predicted)	Not applicable	>80%	>80%	Not applicable	>80%	×80%	Not applicable	60-80%	60-80%	Not applicable	<60%	<60%
	FEV₁/FVC*		>85%	Normal⁺		~~~~~	Normal <sup>†</sup>		75-80%	Reduced 5% <sup>+</sup>		<75%	Reduced >5%⁺
					≥2 exacerb.								
					In 6 montres, or wheezing	Generally, m	ore frequent al	Generally, more frequent and intense events indicate greater severity.	s indicate grea				
					≥4x per								
ĸ	corticosteroids <sup>‡</sup>		0-1/year		year lasting : >1 day :	≥2/year		Generally, more	frequent and i.	Generally, more frequent and intense events indicate greater severity.	dicate greater se	everity.	
siЯ					AND risk factors for persistent asthma								
			Consider se	Consider severity and interv	al since last asti I	hma exacerbatio Relative annual r	nn. Frequency risk of exacerb.	hma exacerbation. Frequency and severity may fluctuate ove Relative annual risk of exacerbations may be related to FEV, *	ly fluctuate ove slated to FEV,*	val since last asthma exacerbation. Frequency and severity may fluctuate over time for patients in any severity category. Relative annual risk of exacerbations may be related to FEV <sub>1</sub> .*	ıts in any severi.	ty category.	
Recon Initiati (See "S Managi	Recommended Step for Initiating Therapy (See "Stepwise Approach for Managing Asthma Long Term,"		Step 1			Step 2		Step 3	Step 3 medium-dose ICS* option	Step 3	Step 3	Step 3 medium-dose ICS* option or Step 4	Step 4 or 5
The ster	¢,								: Consider sl	: Consider short course of oral systemic corticosteroids.	al systemic con	: ticosteroids.	
to help decisio individu	to help, not replace, the clinical decisionmaking needed to meet individual patient needs.			In 2-6 W For children 0-	eeks, dependin. 4 vears old if n	g on severity, as: o clear henefit is	sess level of a	sthma control ac	chieved and ad	In 2–6 weeks, depending on severity, assess level of asthma control achieved and adjust therapy as needed. For children 0–4 wars old if on clear bonefit is cheened in 4–6 waeks, consider adjusting therapy or alternate diamoses	leeded. ate diagnoses		

SABA, short-acting beta<sub>2</sub>-agonist. Abbreviations: ElB, exercise-induced bronchospam; FEV, forced expiratory volume in 1 second; FVC, forced vital capacity; ICS, inhaled corticosteroid;

m Q Normal FEV<sub>1</sub>/FVC by age: 8-19 years, 85%; 20-39 years, 80%; 40-59 years, 75%; 60-80 years, 70%.

‡ Data are insufficient to link frequencies of exacerbations with different levels of asthma severity. Generally, more frequent and intense exacerbations (e.g., requiring urgent care, hospital or intensive care admission, and/or oral corticosteroids) indicate greater underlying disease severity. For treatment purposes, patients with 22 exacerbations may be considered to have persistent asthma, even in the absence of impairment levels consistent with persistent asthma. **ASSESSING ASTHMA CONTROL AND ADJUSTING THERAPY** FOLLOW-UP VISITS:

Level of control (Columns 2-4) is based on the most severe component of impairment (symptoms and functional limitations) or risk (exacerbations). Assess impairment by patient's or caregiver's such as inquiring whether the patient's asthma is better or worse since the last visit. Assess risk by recall of exacerbations during the previous year and since the last visit. Recommendations for recall of events listed in Column 1 during the previous 2-4 weeks and by spirometry and/or peak flow measures. Symptom assessment for longer periods should reflect a global assessment, adjusting therapy based on level of control are presented in the last row.

			Well Controlled		Ž	Not Well Controlled	7	Vei	Verv Poorly Controlled	ed
ů	Components of Control	Ages 0-4 years	Ages 5-11 years	Ages ≥12 years	Ages 0-4 years	Ages 5-11 years	Ages ≥12 years	Ages 0-4 years	Ages 5-11 years	Ages ≥12 years
	Symptoms	≤2 days/week	≤2 days/week but not more than once on each day	≤2 days/week	>2 days/week	>2 days/week or multiple times on ≤2 days/week	>2 days/week		Throughout the day	
	Nighttime awakenings	≤1x/	≤1x/month	≤2x/month	>1x/month	≥2x/month	1-3x/week	>1x/week	≥2x/week	≥4x/week
	Interference with normal activity		None			Some limitation			Extremely limited	
tne	SABA* use for symptom control (not to prevent EIB*)		≤2 days/week			>2 days/week			Several times per day	
lmpairm	Lung function  FEV,*(% predicted)  or peak flow	Not applicable	~ 80%	%08 ~	Not applicable	60-80%	60-80%	Not applicable	\$60% ^	<ul><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li><li></li></ul>
	(% personal best) ◆ FEV <sub>1</sub> /FVC*	:	>80%	Not applicable		75-80%	Not applicable		<75%	Not applicable
	Validated questionnaires <sup>+</sup> ◆ ATAQ*	Not applicable	Not applicable	0	Not applicable	Not applicable	1-2	Not applicable	Not applicable	3-4
	<ul><li>ACQ*</li><li>ACT*</li></ul>			≤0.75‡ ≥20			≥1.5 16–19			Not applicable ≤15
	Asthma exacerbations requiring oral systemic		0-1/year		2-3/year	≥2/year	ear	>3/year	≥2/year	ear
	corticosteroids <sup>§</sup>				-	Consider severity and interval since last asthma exacerbation	t asthma exacerbatio	'n.		
AsiA	Reduction in lung growth/Progressive loss of lung function	Not applicable	Evaluation requires long-term follow-up care.	res long-term o care.	Not applicable	Evaluation requires long-term follow-up care.	ires long-term p care.	Not applicable	Evaluation requires lor follow-up care.	Evaluation requires long-term follow-up care.
	Treatment-related adverse effects		The level	Medication of intensity does no	side effects can vary ot correlate to specifi	Medication side effects can vary in intensity from none to very troublesome and worrisome. The level of intensity does not correlate to specific levels of control but should be considered in the overall assessment of risk	e to very troublesom should be considere	e and worrisome. d in the overall assess	sment of risk.	
Recol for Tr	Recommended Action for Treatment				Step up 1 step	Step up at least 1 step	Step up 1 step	-	Consider short course of oral systemic corticosteroids.	c corticosteroids.
(See "S Managii page 7) The ste	(See "Stepwise Approach for Managing Asthma Long Term," bage 7) The stepwise approach is meant	Regula Consider ster	Maintain current step. Regular follow-up every 1-6 months. Consider step down if well controlled for at least	months. ed for at least	Reevaluate For children 0-4 y weeks, consider a	Reevaluate in 2–6 weeks to achieve control. For children 0–4 years, if no clear benefit observed in 4–6 weeks, consider adjusting therapy or alternative diagnoses.	sve control. Fit observed in 4-6 smative diagnoses.		Step up 1–2 steps. Reevaluate in 2 weeks to achieve control.	ve control.
to hel decisi indivic	to help, not replace, the clinical decisionmaking needed to meet individual patient needs.		s months.		Review adhen discontinue	Before step up in treatment: Review adherence to medication, inhaler technique, and environmental control. If alternative treatment was used, discontinue and use preferred treatment for that step. For side effects, consider alternative treatment options.	Before step u haler technique, and atment for that step.	Before step up in treatment: schnique, and environmental contro for that step. For side effects, cons	ol. If alternative treatn sider alternative treatn	nent was used, nent options.
* 2 SABA,	<ul> <li>Abbreviations: ACQ, Asthma Control Questionnaire<sup>®</sup>; ACT, Asthma Control Test<sup>™</sup>, ATAQ, SABA, short-acting betaagonist.</li> </ul>	Questionnaire®; ACT,	Asthma Control Test™; A		y Assessment Question	Asthma Therapy Assessment Questionnaire*; EIB, exercise-induced bronchospasm; FVC, forced vital capacity; FEV, forced expiratory volume in 1 second:	ced bronchospasm; FV(	C, forced vital capacity;	FEV <sub>1</sub> , forced expiratory v	olume in 1 second;

SABA, short-acting beta,-agonist.

indicate poorer asthma control.

<sup>+</sup> Minimal important difference: 1.0 for the ATAQ; 0.5 for the ACQ; not determined for the ACT.

S Data are insufficient to link frequencies of exacerbations with different levels of asthma control. Generally, more frequent and intense exacerbations (e.g., requiring urgent care, hospital or intensive care admission, and/or oral corticosteroids) ‡ ACQ values of 0.76-1.4 are indeterminate regarding well-controlled asthma.

8

#### STEPWISE APPROACH FOR MANAGING ASTHMA LONG TERM

The stepwise approach tailors the selection of medication to the level of asthma severity (see page 5) or asthma control (see page 6). The stepwise approach is meant to help, not replace, the clinical decisionmaking needed to meet individual patient needs.

ON	TROL:	ç	TEP DOWN IF PO	<b>DSSIBLE</b> (and asthr	ma is well controlled f	or at least 3 months	)				
		-					,				
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	STEP 6				
				ucation, environmen	Ital control, and mana	gement of comorbi	dities				
		Intermittent		Persiste	nt Asthma: Daily Me	dication					
		Asthma	Consult with asthr	ma specialist if step 3	3 care or higher is rec	uired. Consider co	nsultation at step 2.				
	Preferred Treatment <sup>+</sup>	SABA* as needed	low-dose ICS*	medium-dose	: medium-dose : ICS*	high-dose ICS* +	high-dose ICS*				
D			• • •		+	either LABA* or	either LABA* or				
01 404			• • •		: either LABA* or : montelukast	montelukast	montelukast +				
0 0		•	• • • •			•	oral corticosteroid				
y callo	Alternative Treatment <sup>†,‡</sup>	•	cromolyn or montelukast		•	- - - - -	•				
		If clear benefit is n	ot observed in 4-6 w	veeks, and medicatio	In technique and adhe	erence are satisfacto	ory,				
		•	consider adj	usting therapy or alte	ernate diagnoses.						
	Quick-Relief				pends on severity of s p to 24 hours (longer		ult) Consider short				
	Medication	course of oral sys	stemic corticosteroid	s if asthma exacerbat	tion is severe or patier						
			nt use of SABA may i	indicate the need to s	step up treatment.						
		Intermittent Asthma	Consult with asthr		ent Asthma: Daily Me 4 care or higher is rec		nsultation at step 3				
	Preferred	SABA* as needed	low-dose ICS*	low-dose ICS*	medium-dose	high-dose ICS*	high-dose ICS*				
5-11 years of age	Treatment <sup>+</sup>		•	+ either LABA,*	ICS* +	+ LABA*	: + : LABA*				
			•	LTRA,* or	LABA*		+				
			· · · · · · · · · · · · · · · · · · ·	theophylline <sup>(b)</sup>			oral corticosteroid				
	Alternative Treatment <sup>+,‡</sup>		cromolyn, LTRA,* or theophylline <sup>s</sup>	medium-dose	medium-dose ICS*	high-dose ICS* +	high-dose ICS*				
			•	ICS	either LTRA* or theophylline <sup>s</sup>	either LTRA* or theophylline <sup>s</sup>	either LTRA* or theophylline <sup>§</sup>				
			Consider subcutaneous allergen immunotherapy for								
5-11 years	Quick-Relief	<ul> <li>SABA* as needed for symptoms. The intensity of treatment depends on severity of symptoms: up to 3 treatments every 20 minutes as needed. Short course of oral systemic corticosteroids may be needed.</li> </ul>									
	Medication	<ul> <li>Caution: Increasing use of SABA or use &gt;2 days/week for symptom relief (not to prevent EIB*) generally indicates inadequate control and the need to step up treatment.</li> </ul>									
		Intermittent Persistent Asthma: Daily Medication									
		Intermittent         Persistent Asthma: Daily Medication           Asthma         Consult with asthma specialist if step 4 care or higher is required. Consider consultation at step									
	Preferred Treatment <sup>+</sup>	SABA* as needed	low-dose ICS*	low-dose ICS*	medium-dose	high-dose ICS*	high-dose ICS*				
	freatment		•	: + LABA*	: ICS* : +	+ LABA*	+ LABA*				
D				OR	LABA*	AND	+ oral				
≥ız years ∪ı age	A   to	:		medium-dose ICS*		consider omalizumab for	corticosteroid⁵≶				
2 2	Alternative Treatment <sup>+,‡</sup>		cromolyn, LTRA,* or theophylline <sup>s</sup>	low-dose ICS* +	: medium-dose ICS* +	patients who have allergies <sup>#</sup>	consider				
		•	•	either LTRA,* theophylline, <sup>§</sup>	: either LTRA,* : theophylline, <sup>s</sup>		omalizumab for				
				or zileuton <sup>#</sup>	or zileuton <sup>‡‡</sup>	• • •	patients who have allergies <sup>#</sup>				
		•	•	cutaneous allergen ir no have persistent, al							
		<ul> <li>SABA* as needed</li> </ul>	•	•	nt depends on severity	y of symptoms: up t	to 3 treatments				
	Quick-Relief				c corticosteroids may	be needed.					
	Medication	<ul> <li>Constitution 11</li> </ul>		for a martine l' C t	not to prevent EIB*) ge						

snort-acting beta, agonist. <sup>+</sup> Treatment options are listed in alphabetical order, if more than one. <sup>+</sup> If alternative treatment is used and response is inadequate, discontinue and use preferred treatment before stepping up. <sup>§</sup> Theophylline is a less desirable alternative because of the need to monitor serum concentration levels. <sup>\*\*</sup> Based on evidence for dust mites, animal dander, and pollen; evidence is weak or lacking for molds and cockroaches. Evidence is strongest for immunotherapy with single allergens. <sup>\*\*</sup> Theorem of allows in a pathers is a reactive in a chulture in a chulture. The role of allergy in asthma is greater in children than in adults. <sup>††</sup> Clinicians who administer immunotherapy or omalizumab should be prepared to treat anaphylaxis that may occur.

<sup>‡‡</sup> Zileuton is less desirable because of limited studies as adjunctive therapy and the need to monitor liver function. <sup>\$5</sup> Before oral corticosteroids are introduced, a trial of high-dose ICS + LABA + either LTRA, theophylline, or zileuton, may be considered, although this approach has not been studied in clinical trials.

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<b>ROIDS FOR LONG-TERM ASTHM</b>	
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		0-4 years of age			5-11 years of age			≥12 years of age	
Daily Dose	Low	Medium*	High*	Low	Medium*	High*	Low	Medium*	High*
MEDICATION									
Beclomethasone MDI <sup>+</sup>	N/A	N/A	N/A	80-160 mcg	>160-320 mcg	>320 mcg	80-240 mcg	>240-480 mcg	>480 mcg
40 mcg/puff				1-2 puffs 2x/day	3-4 puffs 2x/day		1-3 puffs 2x/day	4-6 puffs 2x/day	
80 mcg/puff				1 puff 2x/day	2 puffs 2x/day	≥3 puffs 2x/day	1 puff am, 2 puffs pm	2-3 puffs 2x/day	≥4 puffs 2x/day
Budesonide DPI <sup>⁺</sup>	N/A	N/A	N/A	180-360 mcg	>360-720 mcg	>720 mcg	180-540 mcg	>540-1,080 mcg	>1,080 mcg
90 mcg/inhalation				1-2 inhs <sup>+</sup> 2x/day	3-4 inhs <sup>+</sup> 2x/day		1-3 inhs <sup>+</sup> 2x/day		
180 mcg/ inhalation					2 inhs† 2x/day	≥3 inhs⁺ 2x/day	1 inh⁺ am, 2 inhs⁺ pm	2-3 inhs† 2x/day	≥4 inhs⁺ 2x/day
Budesonide Nebules	0.25-0.5 mg	>0.5-1.0 mg	>1.0 mg	0.5 mg	1.0 mg	2.0 mg	N/A	N/A	N/A
0.25 mg	1-2 nebs⁺/day			1 neb <sup>+</sup> 2x/day					
0.5 mg	1 neb⁺/day	2 nebs⁺/day	3 nebs†/day	1 neb†/day	1 neb† 2x/day				
1.0 mg		1 neb⁺/day	2 nebs†/day		1 neb⁺/day	1 neb† 2x/day			
Ciclesonide MDI⁺	N/A	N/A	N/A	80-160 mcg	>160-320 mcg	>320 mcg	160-320 mcg	>320-640 mcg	>640 mcg
80 mcg/puff				1-2 puffs/day	1 puff am, 2 puffs pm- 2 puffs 2x/day	≥3 puffs 2x/day	1-2 puffs 2x/day	3-4 puffs 2x/day	
160 mcg/puff				1 puff/day	1 puff 2x/day	≥2 puffs 2x/day		2 puffs 2x/day	≥3 puffs 2x/day
Flunisolide MDI <sup>+</sup>	N/A	N/A	N/A	160 mcg	320-480 mcg	≥480 mcg	320 mcg	>320-640 mcg	>640 mcg
80 mcg/puff				1 puff 2x/day	2-3 puffs 2x/day	≥4 puffs 2x/day	2 puffs 2x/day	3-4 puffs 2x/day	≥5 puffs 2x/day

\* It is preferable to use a higher mcg/puff or mcg/inhalation formulation to achieve as low a number of puffs or inhalations as possible. \* Abbreviations: DPI, dry powder inhaler (requires deep, fast inhalation); inh, inhalation; MDI, metered dose inhaler (releases a puff of medication); neb, nebule.

INHALED CORTICOSTEROIDS FOR LONG-TERM ASTHMA CONTROL (continued) **ESTIMATED COMPARATIVE DAILY DOSAGES:** 

Daily DoseLowHighHighLowMedium'HighLowMEDICATIONTö meg>176-352 meg>352 meg88-176 meg>352 meg88-264MEDICATIONTö meg>176 meg>176-352 meg88-176 meg352 meg88-264Hutesone MDrTö meg>176 meg>176 size meg88-176 meg88-26488-264Hutesone MDr2 puffs 2x/day $2x/day2x/day3x/day3x/day2x/day88-264Hutesone DPr2 puffs 2x/day2x/day2x/day2x/day22/day22/day10-300Lo meg/uffN/MN/MN/MN/MN/M100-200 meg100-300Lo meg/uffN/MN/MN/MN/M100-200 meg100-300Lo meg/uffN/MN/MN/MN/M100-200 meg200-400 meg100-300Lo meg/uffN/MN/MN/MN/M100-200 meg200-400 meg100-300Lo meg/uffN/MN/MN/MN/M100-200 meg200-400 meg100-300Lo meg/uffN/MN/MN/MN/M100-200 meg200-400 meg200-400 megLo meg/uffN/MN/MN/MN/M100-200 meg200-400 meg100-300Lo meg/uffN/MN/MN/MN/M200-400 meg200-400 meg100-300Lo meg/uffN/MN/MN/MN/M100 meg200-400 meg100-200Lo meg/uffN/MN/MN/MN/M$		•	0-4 years of age			5-11 years of age			≥12 years of age	
176 mcg         >176-352 mcg         352 mcg         88-176 mcg         >176-352 mcg         352 mcg           2 puffs 2x/day         3-4 puffs	Daily Dose	Low	Medium*	High*	Low	Medium*	High*	Low	Medium*	High*
176 mcg $176 \cdot 352 mcg$ $88 \cdot 176 \cdot 352 mcg$ $352 mcg$ $352 mcg$ 2 puffs $2x/day$ $3-4 puffs$ $3-4 puffs$ $352 mcg$ 2 puffs $2x/day$ $1-2 puffs$ $3-4 puffs$ $352 mcg$ 1 puft $2x/day$ $2 puffs$ $2 puffs$ $2 puffs$ $2 puffs$ N/AN/AN/AN/AN/A $1 puff 2x/day$ $2 puffs 2x/day$ N/AN/AN/AN/A $1 puff 2x/day$ $2 puffs 2x/dayN/AN/AN/AN/A1 puff 2x/day2 puff 2x/dayN/AN/AN/AN/A2 puff 2x/day2 puff 2x/dayN/AN/AN/AN/A2 puff 2x/day2 puff 2x/dayN/AN/AN/AN/A1 puff 2x/day2 puff 2x/dayN/AN/AN/AN/A2 puff 2x/dayN/AN/A$	MEDICATION									
2 puffs $2x/day$ $3-4$ puffs $-1-2$ puffs $3-4$ puffs $-1-2$ puff	Fluticasone MDI <sup>+</sup>	176 mcg	>176-352 mcg	>352 mcg	88-176 mcg	>176-352 mcg	>352 mcg	88-264 mcg	>264-440 mcg	>440 mcg
I puff $2x/day$ $\frac{2}{2}$ puffs $\frac{2}{2}$ puffs $\frac{2}{2}$ puffs $2x/day$ $\frac{2}{2}$ puffs $2x/day$ N/AN/AN/AN/A100-200 mcg>400 mcgN/AN/AN/A100-200 mcg>200-400 mcg>400 mcg1-2 inhs: $2x/day$ $2-1ihs: 2x/day2-1ihs: 2x/day2-1ihs: 2x/day2-1ihs: 2x/dayN/AN/AN/AN/A10n*2X/day2-1ihs: 2x/day2-1ihs: 2x/dayN/AN/AN/AN/A10n*11-1ih' 2x/day2-1ihs: 2x/dayN/AN/AN/AN/A110 mcg2-0-440 mcg2-400 mcgN/AN/AN/A100 mcg20-440 mcg2-400 mcgN/AN/AN/A110 mcg2-1ihs: 2x/day2-1ihs: 2x/dayN/AN/A100 mcg2-10 mcg2-400 mcgN/AN/A110 mcg2-10 mcg2-10 mcgN/AN/A110 mcg2-10 mcg2-10 mcgN/AN/A10 mcg2-10 mcg2-10 mcgN/AN/A10 mcg2-10 mcg2-10 mcgN/AN/A10 mcg2-10 mcg2-10 mcgN/AN/AN/A2-10 mcg2-10 mcgN/AN/A2-10 mcg2-10 mcg2-10 mcgN/AN/AN/A2-10 mcg2-10 mcgN/AN/A2-10 mcg2-10 mcg2-10 mcg$	44 mcg/puff	2 puffs 2x/day	3-4 puffs 2x/day		1–2 puffs 2x/day	3-4 puffs 2x/day		1-3 puffs 2x/day		
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	110 mcg/puff		1 puff 2x/day	≥2 puffs 2x/day		1 puff 2x/day	≥2 puffs 2x/day		2 puffs 2x/day	3 puffs 2x/day
N/AN/AN/A100-200 mcg $200-400 mcg$ $400 mcg$ 1-2 inhs' 2x/day3-4 inhs' 2x/day3-4 inhs' 2x/day2 inhs' 2x/day10 mcg2 inhs' 2x/day2 inhs' 2x/day2 inhs' 2x/dayN/AN/AN/A10 mcg2 0-440 mcgN/AN/AN/A10 mcg20-440 mcg1 inh' day1 inh' day1 -2 inhs' 2x/day3 inhs' divided1 inh' day1 -2 inhs' 2x/day3 inhs' divided1 inh' day1 -2 inhs' 2x/day3 inhs' divided	220 mcg/puff								1 puffs 2x/day	≥2 puffs 2x/day
$\begin{tabular}{ c c c c } \hline \begin{tabular}{ c c c } \hline \end{tabular} & \hline tab$	Fluticasone DPI⁺	N/A	N/A	N/A	100-200 mcg	>200-400 mcg	>400 mcg	100-300 mcg	>300-500 mcg	>500 mcg
N/A         N/A         N/A         N/A         N/A         N/A         I10 mcg         220-440 mcg         >440 mcg            N/A         N/A         N/A         I10 mcg         20-440 mcg         >440 mcg	50 mcg/inhalation				1-2 inhs⁺ 2x/day			1-3 inhs <sup>+</sup> 2x/day		
N/A         N/A         N/A         I10 mcg         220-440 mcg         >440 mcg            N/A         N/A         N/A         I10 mcg         120-440 mcg         >440 mcg	100 mcg/inhalation				1 inh⁺ 2x/day	2 inhs† 2x/day	>2 inhs† 2x/day		2 inhs† 2x/day	≥3 inhs⁺ 2x/day
N/A         N/A         N/A         I10 mcg         220-440 mcg         >440 mcg           1 <td< td=""><td>250 mcg/inhalation</td><td></td><td></td><td></td><td></td><td></td><td>1 inht 2x/day</td><td></td><td>1 inh⁺ 2x/day</td><td>≥2 inhs⁺ 2x/day</td></td<>	250 mcg/inhalation						1 inht 2x/day		1 inh⁺ 2x/day	≥2 inhs⁺ 2x/day
1 inht/day     1-2 inhst 2x/day     z3 inhst 2x/day       1-2 inhst/day     z3 inhst divided	Mometasone DPI⁺	N/A	N/A	N/A	110 mcg	220-440 mcg	>440 mcg	110-220 mcg	>220-440 mcg	>440 mcg
1-2 inhs <sup>+</sup> /day z3 inhs <sup>+</sup> divided in 2 doses	110 mcg/inhalation				1 inh†/day	1-2 inhst 2x/day	≥3 inhs⁺ 2x/day	1-2 inhs⁺ pm	3-4 inhs <sup>+</sup> pm or 2 inhs <sup>+</sup> 2x/day	≥3 inhs⁺ 2x/day
	220 mcg/inhalation					1-2 inhs⁺/day	≥3 inhs⁺ divided in 2 doses	1 inh⁺ pm	1 inh <sup>+</sup> 2x/day or 2 inhs <sup>+</sup> pm	≥3 inhs⁺ divided in 2 doses

\* Abbreviations: DPI, dry powder inhaler (requires deep, fast inhalation); inh, inhalation; MDI, metered dose inhaler (releases a puff of medication); neb, nebule. \* It is preferable to use a higher mcg/puff or mcg/inhalation formulation to achieve as low a number of puffs or inhalations as possible.

# Therapeutic Issues Pertaining to Inhaled Corticosteroids (ICSs) for Long-Term Asthma Control

- The most important determinant of appropriate dosing is the clinician's judgment of the patient's response to therapy. The clinician must monitor the patient's response on several clinical parameters (e.g., symptoms; activity level; measures of lung function) and adjust the dose accordingly. Once asthma control is achieved and sustained at least 3 months, the dose should be carefully titrated down to the minimum dose necessary to maintain control.
- Some doses may be outside package labeling, especially in the high-dose range.
   Budesonide nebulizer suspension is the only inhaled corticosteroid (ICS) with FDA-approved labeling for children <4 years of age.</li>
- Metered-dose inhaler (MDI) dosages are expressed as the actuator dose (amount leaving the actuator and delivered to the patient), which is the labeling required in the United States. This is different from the dosage expressed as the valve dose (amount of drug leaving the valve, not all of which is available to the patient), which is used in

many European countries and in some scientific literature. Dry powder inhaler (DPI) doses are expressed as the amount of drug in the inhaler following activation.

• For children <4 years of age: The safety and efficacy of ICSs in children <1 year of age has not been established. Children <4 years of age generally require delivery of ICS (budesonide and fluticasone MDI) through a face mask that fits snugly over nose and mouth to avoid nebulizing in the eyes. Face should be washed after treatment to prevent local corticosteroid side effects. For budesonide, the dose may be given 1-3 times daily. Budesonide suspension is compatible with albuterol, ipratropium, and levalbuterol nebulizer solutions in the same nebulizer. Use only jet nebulizers, as ultrasonic nebulizers are ineffective for suspensions. For fluticasone MDI, the dose should be divided 2 times daily; the low dose for children <4 years of age is higher than for children 5-11 years of age because of lower dose delivered with face mask and data on efficacy in young children.</p>

#### **USUAL DOSAGES FOR OTHER LONG-TERM CONTROL MEDICATIONS\***

Medication	0-4 years of age	5–11 years of age	≥12 years of age
Combined Medication (inhaled corticosteroi	d + long-acting beta <sub>2</sub> -age	onist)	•
Fluticasone/Salmeterol — DPI <sup>†</sup> 100 mcg/50 mcg, 250 mcg/50 mcg, or 500 mcg/50 mcg	N/A†	1 inhalation 2x/day; dose depends on level of severity or control	1 inhalation 2x/day; dose depends on level of severity or control
MDI <sup>†</sup> 45 mcg/21 mcg, 115 mcg/21 mcg, or 230 mcg/21 mcg			
<b>Budesonide/Formoterol</b> — MDI <sup>†</sup> 80 mcg/4.5 mcg or 160 mcg/4.5 mcg	N/A <sup>+</sup>	2 puffs 2x/day; dose depends on level of severity or control	2 puffs 2x/day; dose depends on level of severity or control
Mometasone/Formoterol — MDI <sup>+</sup> 100 mcg/5 mcg	N/A†	N/A†	2 inhalations 2x/day; dose depends on severity of asthma
Leukotriene Modifiers	•	·	•
<b>Leukotriene Receptor Antagonists (LTRAs)</b> Montelukast — 4 mg or 5 mg chewable tablet, 4 mg granule packets, 10 mg tablet	4 mg every night at bedtime (1-5 years of age)	5 mg every night at bedtime (6-14 years of age)	10 mg every night at bedtime
Zafirlukast — 10 mg or 20 mg tablet Take at least 1 hour before or 2 hours after a meal. Monitor liver function.	N/A <sup>†</sup>	10 mg 2x/day (7-11 years of age)	40 mg daily (20 mg tablet 2x/day)
5-Lipoxygenase Inhibitor Zileuton — 600 mg tablet <i>Monitor liver function.</i>	N/A†	N/A <sup>†</sup>	2,400 mg daily (give 1 tablet 4x/day)
Immunomodulators	•	·	•
<b>Omalizumab (Anti IgE<sup>†</sup>)</b> — Subcutaneous injection, 150 mg/1.2 mL following reconstitution with 1.4 mL sterile water for injection	N/A†	N/A†	150-375 mg subcutaneous every 2-4 weeks, depending on body weight and pretreatment serum IgE level
Monitor patients after injections; be prepared to treat anaphylaxis that may occur.			
Cromolyn	٠	,	·
<b>Cromolyn</b> — Nebulizer: 20 mg/ampule	1 ampule 4x/day, N/A <sup>†</sup> <2 years of age	1 ampule 4x/day	1 ampule 4x/day
Methylxanthines	•	·	•
<b>Theophylline</b> — Liquids, sustained-release tablets, and capsules <i>Monitor serum concentration levels</i> .	Starting dose 10 mg/kg/ day; usual maximum: <ul> <li>&lt;1 year of age: 0.2 (age in weeks) + 5 = mg/kg/day</li> <li>≥1 year of age: 16 mg/kg/day</li> </ul>	Starting dose 10 mg/ kg/day; usual maximum: 16 mg/kg/day	Starting dose 10 mg/kg/day up to 300 mg maximum; usual maximum: 800 mg/day
Inhaled Long-Acting Beta <sub>2</sub> -Agonists (LABAs) -	used in conjunction with ICS <sup>+</sup>	for long-term control; LABA is N	NOT to be used as monotherapy
Salmeterol – DPI <sup>+</sup> 50 mcg/blister	N/A <sup>†</sup>	1 blister every 12 hours	1 blister every 12 hours
Formoterol –DPI <sup>+</sup> 12 mcg/single-use capsule	N/A†	1 capsule every 12 hours	1 capsule every 12 hours
Oral Systemic Corticosteroids			
Methylprednisolone — 2, 4, 8, 16, 32 mg tablets Prednisolone — 5 mg tablets; 5 mg/5 cc, 15 mg/5 cc	<ul> <li>0.25-2 mg/kg daily in single dose in a.m. or every other day as needed for control</li> <li>Short course "burst":</li> </ul>	<ul> <li>0.25-2 mg/kg daily in single dose in a.m. or every other day as needed for control</li> <li>Short course "burst":</li> </ul>	<ul> <li>7.5-60 mg daily in single dose in a.m. or every other day as needed for control</li> <li>Short course "burst": to achieve control, 40-60 mg/</li> </ul>
<b>Prednisone</b> — 1, 2.5, 5, 10, 20, 50 mg tablets; 5 mg/cc, 5 mg/5 cc	1-2 mg/kg/day, max 60 mg/d for 3-10 days	1-2 mg/kg/day, max 60 mg/d for 3-10 days	day as single or 2 divided doses for 3-10 days

\* Dosages are provided for those products that have been approved by the U.S. Food and Drug Administration or have sufficient clinical trial safety and efficacy data in the appropriate age ranges to support their use.

<sup>+</sup> Abbreviations: DPI, dry powder inhaler; IgE, immunoglobulin E; MDI, metered-dose inhaler; N/A, not available (not approved, no data available, or safety and efficacy not established for this age group).

The most important determinant of appropriate dosing is the clinician's judgment of the patient's response to therapy. The clinician must monitor the patient's response on several clinical parameters (e.g., symptoms; activity level; measures of lung function) and adjust the dose accordingly. Once asthma control is achieved and sustained at least 3 months, the dose should be carefully titrated down to the minimum dose necessary to maintain control.

#### RESPONDING TO PATIENT QUESTIONS ABOUT INHALED CORTICOSTEROIDS

Questions and varying beliefs about inhaled corticosteroids (ICSs) are common and may affect adherence to treatment. Following are some key points to share with patients and families.

- ICSs are the most effective medications for long-term control of persistent asthma. Because ICSs are inhaled, they go right to the lungs to reduce chronic airway inflammation. In general, ICSs should be taken every day to prevent asthma symptoms and attacks.
- The potential risks of ICSs are well balanced by their benefits. To reduce the risk of side effects, patients should work with their doctor to use the lowest dose that maintains asthma control, and be sure to take the medication correctly.
  - Mouth irritation and thrush (yeast infection), which may be associated with ICSs at higher doses, can be avoided by rinsing the mouth and

spitting after ICS use and, if appropriate for the inhaler device, by using a valved holding chamber or spacer.

- ICS use may slow a child's growth rate slightly. This effect on linear growth is not predictable and is generally small (about 1 cm), appears to occur in the first several months of treatment, and is not progressive. The clinical significance of this potential effect has yet to be determined. Growth rates are highly variable in children, and poorly controlled asthma can slow a child's growth.
- ICSs are generally safe for pregnant women. Controlling asthma is important for pregnant women to be sure the fetus receives enough oxygen.
- ICSs are not addictive.
- ICSs are not the same as anabolic steroids that some athletes use illegally to increase sports performance.

#### **RESPONDING TO PATIENT QUESTIONS ABOUT LONG-ACTING BETA<sub>2</sub>-AGONISTS**

Keep the following key points in mind when educating patients and families about long-acting beta<sub>2</sub>-agonists (LABAs).

- The addition of LABA (salmeterol or formoterol) to the treatment of patients who require more than low-dose inhaled corticosteroid (ICS) alone to control asthma improves lung function, decreases symptoms, and reduces exacerbations and use of short-acting beta,-agonists (SABA) for quick relief in most patients to a greater extent than doubling the dose of ICS.
- A large clinical trial found that slightly more deaths occurred in patients taking salmeterol in a single inhaler every day in addition to usual asthma therapy\* (13 out of about 13,000) compared with patients taking • Daily use should generally not exceed 100 mcg a placebo in addition to usual asthma therapy (3 out of about 13,000). Trials for formoterol in a single inhaler every day in addition to usual therapy\* found more severe asthma exacerbations in patients taking formoterol, especially at higher doses, compared

with those taking a placebo added to usual therapy. Therefore, the Food and Drug Administration placed a Black Box warning on all drugs containing a LABA.

- The established benefits of LABAs added to ICS for the great majority of patients who require more than lowdose ICS alone to control asthma should be weighed against the risk of severe exacerbations, although uncommon, associated with daily use of LABAs.
- LABAs should not be used as monotherapy for long-term control. Even though symptoms may improve significantly, it is important to keep taking ICS while taking LABA.
- salmeterol or 24 mcg formoterol.
- It is not currently recommended that LABAs be used to treat acute symptoms or exacerbations.

\* Usual therapy included a wide range of regimens, from those in which no other daily therapy was taken to those in which varving doses of other daily medications were taken.

#### **EDUCATIONAL RESOURCES**

#### National Heart, Lung, and Blood Institute

- Expert Panel Report 3: Guidelines for the Diagnosis and Management of Asthma (EPR-3) www.nhlbi.nih.gov/guidelines/asthma
- Physician Asthma Care Education (PACE): www.nhlbi.nih.gov/health/prof/lung/asthma/pace/
- National Asthma Control Initiative (NACI): http://naci.nhlbi.nih.gov

#### Allergy & Asthma Network Mothers of Asthmatics 800-878-4403 www.aanma.org

American Academy of Allergy, Asthma, and Immunology 414-272-6071 www.aaaai.org

American Academy of Pediatrics 847-434-4000 www.aap.org

American Association of Respiratory Care 972-243-2272 www.aarc.org

American College of Chest Physicians 847-498-1400 www.chestnet.org

American College of Allergy, Asthma & Immunology 847-427-1200 www.acaai.org

#### For more information contact:

#### **NHLBI Information Center**

P.O. Box 30105 Bethesda, MD 20824-0105 Phone: 301-592-8573 Fax: 301-592-8563 Web site: www.nhlbi.nih.gov American Lung Association 800-LUNG-USA (800-586-4872) www.lungusa.org

American School Health Association 800-445-2742 www.ashaweb.org

Asthma and Allergy Foundation of America 800-7-ASTHMA (800-727-8462) http://aafa.org

**Centers for Disease Control and Prevention** 800-CDC-INFO (800-232-4636) www.cdc.gov/asthma

Environmental Protection Agency/ Asthma Community Network www.asthmacommunitynetwork.org 800-490-9198 (to order EPA publications) www.epa.gov/asthma/publications.html

National Association of School Nurses 240-821-1130 www.nasn.org



U.S. Department of Health and Human Services National Institutes of Health

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National Heart Lung and Blood Institute People Science Health

# Asthma Control Test<sup>™</sup> Is:

- A quick test that provides a numerical score to assess asthma control.
- Recognized by the National Institutes of Health (NIH) in its 2007 asthma guidelines.<sup>1</sup>
- Clinically validated against spirometry and specialist assessment.<sup>2</sup>

- For Patients 12 Years and Older: 1. Answer each question and write the answer number in the box to the right of each question.
  - 2. Add your answers and write your total score in the TOTAL box shown below.
  - 3. Discuss your results with your doctor.

1. In the past	4 weeks, ho	w much of the	time did yo	our <b>asthma</b> keel	o you from	getting as much	n done at v	vork, school or	at home?	SCORE
All of the time	1	Most of the time	2	Some of the time	3	A little of the time	4	None of the time	5	
2. During the	past <b>4 wee</b>	<b>ks</b> , how often l	nave you h	ad shortness o	of breath?					
More than once a day	1	Once a day	2	3 to 6 times a week	3	Once or twice a week	4	Not at all	5	
-	•	<b>ks</b> , how often d t night or earlie	-		-	g, coughing, sho	ortness of	breath, chest	tightness	
4 or more nights a wee	k (1)	2 or 3 nights a week	2	Once a week	3	Once or twice	4	Not at all	5	
4. During the 3 or more times per da		ks, how often   1 or 2 times per day	nave you u	ised your rescu 2 or 3 times per week	ie inhaler o 3	or nebulizer me Once a week or less	dication ( 4	such as albut Not at all	erol)?	
5. How would	you rate yo	ur <b>asthma</b> con <sup>-</sup>	trol during	g the <b>past 4 we</b>	eks?					
Not controlle at all	d 1	Poorly controlled	2	Somewhat controlled	3	Well controlled	4	Completely controlled	5	
doctor abo	ut your res		swers be	low should i		ol. Be sure to ded to your t		•		TOTAL
		s, how many a hospitaliza		ncy departm	ent visits	s have you ha	id due to	o asthma		
In the past	12 month	s, how many	inpatien	ıt hospitaliza	tions hav	e you had du	ie to ast	hma?		

Copyright 2002, by QualityMetric Incorporated. Asthma Control Test is a trademark of QualityMetric Incorporated. The Asthma Control Test is for people with asthma 12 years and older.

References: 1. US Department of Health and Human Services, National Institutes of Health, National Heart, Lung, and Blood Institute. Expert Panel Report 3: Guidelines for the Diagnosis and Management of Asthma (EPR-3 2007). NIH Item 08-4051. http://www.nhlbi.nih.gov/guidelines/asthma/asthgdln.htm. Accessed September 10, 2007. 2. Nathan RA et al. J Allergy Clin Immunol. 2004:113:59-65.



### Childhood Asthma Control Test for children 4 to 11 years.

If your child's score is 19 or less, it may be a sign that your

child's asthma is not controlled as well as it could be. No

matter what the score, bring this test to your doctor to talk

about your child's results.

#### How to take the Childhood Asthma Control Test

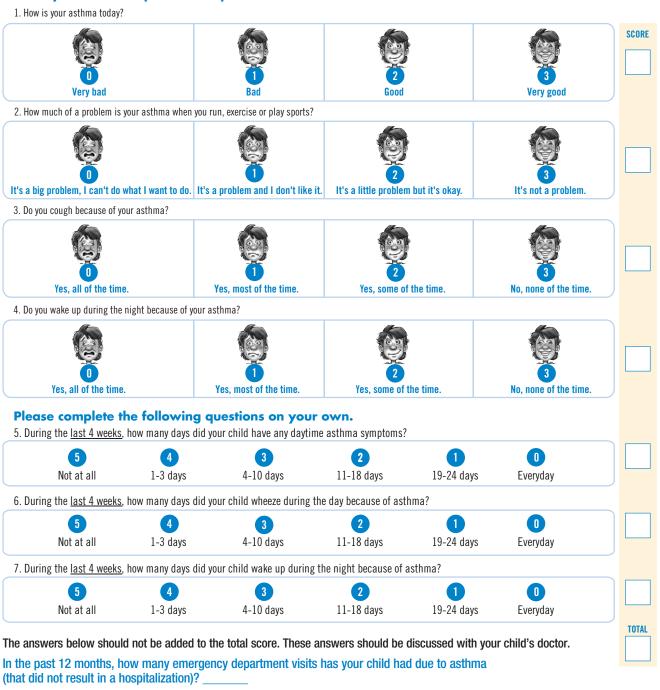
Step 1 Let your child respond to the first 4 questions (1 to 4). If your child needs help reading or understanding the question, you may help, but let your child select the response. Complete the remaining 3 questions (5 to 7) on your own and without letting your child's response influence your answers. There are no right or wrong answers.

19

or less

- **Step 2** Write the number of each answer in the score box provided.
- Step 3 Add up each score box for the total.
- Step 4 Take the test to the doctor to talk about your child's total score.

#### Have your child complete these questions.



In the past 12 months, how many inpatient hospitalizations has your child had due to asthma?



#### **TRACK™** Test for Respiratory and Asthma Control in Kids

#### Who should use TRACK?

This simple test can help determine if your child's breathing problems are not under control.

The test was designed for children who

- Are under 5 years of age AND
- Have a history of 2 or more episodes of wheezing, shortness of breath, or cough lasting more than 24 hours AND
- Have been previously prescribed bronchodilator medicines, also known as quick-relief medications (eg, albuterol, Ventolin<sup>®</sup>, Proventil<sup>®</sup>, Maxair<sup>®</sup>, ProAir<sup>®</sup>, or Xopenex<sup>®</sup>), for respiratory problems
   OR have been diagnosed with asthma

#### How to take TRACK

- Step 1: Make a check mark in the box below each of your selected answers.
- Step 2: Write the number of your answer in the score box provided to the right of each question.
- Step 3: Add up the numbers in the individual score boxes to obtain your child's total score.
- **Step 4:** Take the test to your child's health care provider to talk about your child's total TRACK score.

During the past 4 weeks, how often was your child bothered by breathing problems, such as wheezing, coughing, or shortness of breath? Not at all Once or twice Once every week 2 or 3 times a week 4 or more times a week 20 15 10 5 0 During the past 4 weeks, how often did your child's breathing problems (wheezing, coughing, shortness of breath) wake him or her up at night? Not at all Once or twice Once every week 2 or 3 times a week 4 or more times a week 20 15 10 5 0 During the past 4 weeks, to what extent did your child's breathing problems, such as wheezing, coughing, or shortness of breath, interfere with his or her ability to play, go to school, or engage in usual activities that a child should be doing at his or her age? Not at all Quite a lot Slightly Moderately Extremely 5 20 15 10 0 During the past 3 months, how often did you need to treat your child's breathing problems (wheezing, coughing, shortness of breath) with quick-relief medications (albuterol, Ventolin®, Proventil<sup>®</sup>, Maxair<sup>®</sup>, ProAir<sup>®</sup>, Xopenex<sup>®</sup>, or Primatene<sup>®</sup> Mist)? Not at all Once or twice Once every week 2 or 3 times a week 4 or more times a week 20 15 5 10 During the past 12 months, how often did your child need to take oral corticosteroids (prednisone, prednisolone, Orapred<sup>®</sup>, Prelone<sup>®</sup>, or Decadron<sup>®</sup>) for breathing problems not controlled by other medications? 5 Once Never Twice 3 times 4 or more times 20 15 10 5 The brands mentioned herein are trademarks of their respective owners and are not trademarks of the AstraZeneca group Total of companies. The makers of these brands are not affiliated with and do not endorse AstraZeneca or its products Please see reverse side for an explanation of what your child's total TRACK score means.

For kids under 5 years of age

Score

AstraZeneca

16

## What does your child's TRACK score mean?

#### If your child's score is

#### Less than 80

#### Your child's breathing problems may not be under control

- Make sure you are following the treatment recommendations given to you by your child's health care provider
- Talk with your child's health care provider about reasons why your child's breathing problems may not be under control
- Ask your child's health care provider what steps might be taken to improve your child's respiratory and asthma
  control in order to reduce daytime and nighttime symptoms and to reduce the need to use quick-relief medications

#### If your child's score is

#### 80 or more

#### Your child's breathing problems seem to be under control

- Monitor your child's breathing problems on a regular basis and bring any concerns to the attention of his or her health care provider. Even though your child may not have breathing problems right now, these can come and go at any time
- Continue talking with the health care provider about your child's progress and which treatment plan is right for your child
- Good respiratory and asthma control can help your child sleep better, participate in everyday activities, and suffer fewer recurring flare-ups of breathing problems

#### Talk to your child's health care provider about your child's TRACK score

#### The American Academy of Pediatrics (AAP) Quality Improvement Innovation Network (QuIIN) participated in the validation of this tool



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-		Patient Name:		Append	lix E
-	Pediatric/Adolescent	ID Number:			
	Asthma Therapy	Physician Name:			
	Assessment	Please have the parent or guard			
	Questionnaire	INSTRUCTIONS: Check 1 ans question and enter point value		Control	Other
1.	<ul> <li>In the past 4 weeks, did your child:</li> <li>a) Have wheezing or difficulty breathing when ex</li> <li>b) Have wheezing during the day when not exerce.</li> <li>c) Wake up at night with wheezing or difficulty br</li> <li>d) Miss days of school because of his/her asthm</li> <li>e) Miss any daily activities (such as playing, goin or any family activity) because of asthma?</li> </ul>	cising? Ye eathing? Ye a? Ye g to a friend's house,	es (1) No (0) Unsure es (1) No (0) Unsure es (1) No (0) Unsure es (1) No (0) Unsure es (1) No (0) Unsure	e (1) e (1) e (1)	Issues
2.	from asthma symptoms?*(If Yes) In the past 4 weeks, what was the greatin 1 day your child used this inhaler/nebulizer?0(0)1 to 2(0)More	Yes		;	
3.	is NOT used for quick relief but is used to con (If Yes or Unsure) What best describes how ye	<ul> <li>trol his/her asthma? Yes</li> <li>our child takes this medicine now?</li> <li>(0) Only takes it when hes</li> <li>(1) Never takes it</li> </ul>		3	
4.	Are you dissatisfied with any part of your child asthma treatment?		s (1) 🔲 No (0) 🔲 Unsure	→ (1)	
5.	<ul> <li>Do you believe that:</li> <li>a) Your child's asthma was well controlled in the</li> <li>b) Your child is able to take his/her asthma medie</li> <li>c) Your child's medicine(s) is useful for controlling</li> </ul>	cine(s) as directed?	es (0) No (1) Unsure es (0) No (1) Unsure es (0) No (1) Unsure	e (1)	
6.	<ul> <li>During this office visit, would you like the doct</li> <li>a) Different types of drugs available to control as</li> <li>b) Your child's asthma treatment options?</li> <li>c) How your child prefers to take his/her asthma</li> <li>d) Other issues?</li> </ul>	thma? (1	)		
	Add numbers in the dark blu	Enter e area and enter total SCORE here e area and enter total SCORE here er, discuss questionnaire with you	e. TOTAL	$\rightarrow$ —	
	*This reflects a lower threshold to identify potential control problems modification was designed to encourage patients and providers to	than was used in the ATAQ validation studie	es. This	18	





#### ADULT (18 YEARS OR OLDER)

#### Take a step toward control

Patient's name:	
ID number:	
Physician's name:	Date:

In	structions: Check 1 ar	swer for each question and e	nter point va	lue (0 or 1)	) on line	
1.	In the <i>past 4 weeks</i> , did y a. Miss any work, school, c					V
	because of your asthma		Yes (1)	<b>No (0</b> )	Unsure (1)	
	b. Wake up at night becaus	se of asthma?	Yes (1)	No ( <b>0</b> )	Unsure ( <b>1</b> )	
	c. Believe that your asthma	was well controlled?	Yes ( <b>0</b> )	No (1)	Unsure (1)	
2.	Do you use an inhaler for asthma symptoms?	<i>quick relief</i> from	Yes	No	Unsure	
	If yes, in the past 4 weeks number of puffs in 1 day					
	<b>0</b> ( <b>0</b> )	9 to 12 puffs ( <b>1</b> ) <sup>†</sup>				
	1 to 4 puffs (0)	More than 12 puffs (1)				
	5 to 8 puffs ( <b>1</b> ) <sup>†</sup>	Enter score			>	
Add the numbers in the blue area and enter the total score here.						
		scuss the questionnaire with			~	

\*The control domain is 1 domain of the ATAQ instrument. Other disease management domains are included in the complete instrument. <sup>1</sup>This reflects a lower threshold than was used in the ATAQ validation studies to identify potential control problems. This modification was designed to encourage patients and providers to discuss how asthma medications are being used.

20850556(5)-04/08-SNG

Patient: Detach here and keep this part.

Continue to monitor your asthma control at asthmacontrolchecte.com

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#### Asthma Action Plan

#### Appendix G Doctor: \_\_\_\_\_ Date: \_\_\_\_\_ For:\_\_\_\_\_ Doctor's Phone Number Hospital/Emergency Department Phone Number **GREEN ZONE Doing Well** Take these long-term control medicines each day (include an anti-inflammatory). Medicine How much to take When to take it ■ No cough, wheeze, chest tightness, or shortness of breath during the day or night Can do usual activities And, if a peak flow meter is used, Peak flow: more than \_\_\_\_\_ (80 percent or more of my best peak flow) My best peak flow is: □ \_\_\_\_\_ □ 2 or □ 4 puffs\_\_\_\_\_ 5 minutes before exercise Before exercise First Add: guick-relief medicine—and keep taking your GREEN ZONE medicine. **Asthma Is Getting Worse** \_\_\_\_\_ 2 or **1** 4 puffs, every 20 minutes for up to 1 hour Cough, wheeze, chest tightness, or (short-acting beta2-agonist) Nebulizer. once shortness of breath, or Waking at night due to asthma, or If your symptoms (and peak flow, if used) return to GREEN ZONE after 1 hour of above treatment: Second Can do some, but not all, usual activities Continue monitoring to be sure you stay in the green zone. -Or--Or-If your symptoms (and peak flow, if used) do not return to GREEN ZONE after 1 hour of above treatment: Peak flow: \_\_\_\_\_ to \_\_\_\_\_ □ Take:\_\_\_\_\_ □ 2 or □ 4 puffs or □ Nebulizer (short-acting beta<sub>2</sub>-agonist) (50 to 79 percent of my best peak flow) \_\_\_\_\_ mg per day For \_\_\_\_\_(3–10) days Add: (oral steroid) Call the doctor before/ within \_\_\_\_\_ hours after taking the oral steroid. RED **Medical Alert!** Take this medicine: ZONE 🗖 4 or 🗖 6 puffs or 🗖 Nebulizer ■ Very short of breath, or (short-acting beta2-agonist) Quick-relief medicines have not helped, or (oral steroid) mg Cannot do usual activities, or Symptoms are same or get worse after 24 hours in Yellow Zone Then call your doctor NOW. Go to the hospital or call an ambulance if: You are still in the red zone after 15 minutes AND -Or-You have not reached your doctor. Peak flow: less than \_\_\_\_\_ (50 percent of my best peak flow) DANGER SIGNS Trouble walking and talking due to shortness of breath ■ Take □ 4 or □ 6 puffs of your quick-relief medicine AND Lips or fingernails are blue Go to the hospital or call for an ambulance \_\_\_\_\_ NOW! (phone) 20

This guide suggests things you can do to avoid your asthma triggers. Put a check next to the triggers that you know make your asthma worse and ask your doctor to help you find out if you have other triggers as well. Then decide with your doctor what steps you will take.

#### Allergens

#### Animal Dander

Some people are allergic to the flakes of skin or dried saliva from animals with fur or feathers.

The best thing to do:

• Keep furred or feathered pets out of your home.

#### If you can't keep the pet outdoors, then:

- Keep the pet out of your bedroom and other sleeping areas at all times, and keep the door closed.
- Remove carpets and furniture covered with cloth from your home. If that is not possible, keep the pet away from fabric-covered furniture and carpets.

#### Dust Mites

Many people with asthma are allergic to dust mites. Dust mites are tiny bugs that are found in every home—in mattresses, pillows, carpets, upholstered furniture, bedcovers, clothes, stuffed toys, and fabric or other fabric-covered items.

#### Things that can help:

- Encase your mattress in a special dust-proof cover.
- Encase your pillow in a special dust-proof cover or wash the pillow each week in hot water. Water must be hotter than 130° F to kill the mites. Cold or warm water used with detergent and bleach can also be effective.
- Wash the sheets and blankets on your bed each week in hot water.
- Reduce indoor humidity to below 60 percent (ideally between 30-50 percent). Dehumidifiers or central air conditioners can do this.
- Try not to sleep or lie on cloth-covered cushions.
- Remove carpets from your bedroom and those laid on concrete, if you can.
- Keep stuffed toys out of the bed or wash the toys weekly in hot water or cooler water with detergent and bleach.

#### Cockroaches

Many people with asthma are allergic to the dried droppings and remains of cockroaches.

#### The best thing to do:

- Keep food and garbage in closed containers. Never leave food out.
- Use poison baits, powders, gels, or paste (for example, boric acid). You can also use traps.
- If a spray is used to kill roaches, stay out of the room until the odor goes away.

#### Indoor Mold

- Fix leaky faucets, pipes, or other sources of water that have mold around them.
- Clean moldy surfaces with a cleaner that has bleach in it.

#### Pollen and Outdoor Mold

What to do during your allergy season (when pollen or mold spore counts are high):

- Try to keep your windows closed.
- Stay indoors with windows closed from late morning to afternoon, if you can. Pollen and some mold spore counts are highest at that time.
- Ask your doctor whether you need to take or increase anti-inflammatory medicine before your allergy season starts.

#### Irritants

#### Tobacco Smoke

- If you smoke, ask your doctor for ways to help you quit. Ask family members to quit smoking, too.
- Do not allow smoking in your home or car.

#### Smoke, Strong Odors, and Sprays

- If possible, do not use a wood-burning stove, kerosene heater, or fireplace.
- Try to stay away from strong odors and sprays, such as perfume, talcum powder, hair spray, and paints.

#### Other things that bring on asthma symptoms in some people include:

#### Vacuum Cleaning

- Try to get someone else to vacuum for you once or twice a week, if you can. Stay out of rooms while they are being vacuumed and for a short while afterward.
- If you vacuum, use a dust mask (from a hardware store), a double-layered or microfilter vacuum cleaner bag, or a vacuum cleaner with a HEPA filter.

#### Other Things That Can Make Asthma Worse

- Sulfites in foods and beverages: Do not drink beer or wine or eat dried fruit, processed potatoes, or shrimp if they cause asthma symptoms.
- Cold air: Cover your nose and mouth with a scarf on cold or windy days.
- Other medicines: Tell your doctor about all the medicines you take. Include cold medicines, aspirin, vitamins and other supplements, and nonselective beta-blockers (including those in eye drops).



U.S. Department of Health and Human Services National Institutes of Health



For More Information, go to: www.nhlbi.nih.gov

# **CAHPS<sup>®</sup> Clinician & Group Surveys**

# Version: 12-Month Survey with Patient-Centered Medical Home (PCMH) Items

# **Population: Child**

# Language: English

# **Response Scale: 4 points**

#### Notes

• Expanding on the 12-Month Survey: This survey combines the Clinician & Group 12-Month Survey with the Patient-Centered Medical Home Item Set to address the domains of the medical home. The PCMH supplemental items are highlighted in yellow.

Survey users may add more questions to this survey. A document with supplemental items developed by the CAHPS Consortium and descriptions of major item sets are available at: **Clinician & Group Surveys and Instructions** (<u>https://www.cahps.ahrq.gov/Surveys-Guidance/CG/Get-Surveys-and-Instructions.aspx</u>).

- References to "this provider" rather than "this doctor:" This survey uses "this provider" to refer to the individual specifically named in Question 1. A "provider" could be a doctor, nurse practitioner, physician assistant, or other individual who provides clinical care. Survey users may change "provider" to "doctor" throughout the questionnaire. For guidance, please see Preparing a Questionnaire Using the CAHPS Clinician & Group Surveys (https://www.cahps.ahrq.gov/Surveys-Guidance/CG/~/media/Files/SurveyDocuments/CG/12%20Month/Admin\_Survey/1032\_cg\_preparing\_a\_questionnaire.pdf).
- Never-to-Always response scale: This survey employs a 4-point response scale "Never/Sometimes/Usually/Always" – which is the standard frequency scale for CAHPS surveys. The CAHPS Consortium is currently awaiting National Quality Forum (NQF) review and endorsement of this 2.0 version of the survey with a 4-point scale.

**COMPS** File name: 1354a\_Child\_12mo\_with\_PCMH\_20.docx Last updated: September 1, 2011

#### **Instructions for Front Cover**

- Replace the cover of this document with your own front cover. Include a user-friendly title and your own logo.
- Include this text regarding the confidentiality of survey responses:

Your Privacy is Protected. All information that would let someone identify you or your family will be kept private. {VENDOR NAME} will not share your personal information with anyone without your OK. Your responses to this survey are also completely **confidential**. You may notice a number on the cover of the survey. This number is used **only** to let us know if you returned your survey so we don't have to send you reminders.

**Your Participation is Voluntary.** You may choose to answer this survey or not. If you choose not to, this will not affect the health care you get.

What To Do When You're Done. Once you complete the survey, place it in the envelope that was provided, seal the envelope, and return the envelope to [INSERT VENDOR ADDRESS].

If you want to know more about this study, please call XXX-XXX-XXXX.

#### Instructions for Format of Questionnaire

Proper formatting of a questionnaire improves response rates, the ease of completion, and the accuracy of responses. The CAHPS team's recommendations include the following:

- If feasible, insert blank pages as needed so that the survey instructions (see next page) and the first page of questions start on the right-hand side of the questionnaire booklet.
- Maximize readability by using two columns, serif fonts for the questions, and ample white space.
- Number the pages of your document, but remove the headers and footers inserted to help sponsors and vendors distinguish among questionnaire versions.

Additional guidance is available in **Preparing a Questionnaire Using the CAHPS Clinician & Group Survey** (<u>https://www.cahps.ahrq.gov/Surveys-Guidance/</u> CG/~/media/Files/SurveyDocuments/CG/12%20Month/Admin\_Survey/1032\_cg\_preparing\_a\_ <u>questionnaire.pdf</u>).

#### **Survey Instructions**

Answer each question by marking the box to the left of your answer.

You are sometimes told to skip over some questions in this survey. When this happens you will see an arrow with a note that tells you what question to answer next, like this:



 $\boxtimes$  Yes  $\rightarrow$  If Yes, go to #1 on page 1

Please answer the questions for the child listed on the envelope. Please do not answer for any other children.

#### Your Child's Provider

1. Our records show that your child got care from the provider named below in the last 12 months.

Name of provider label goes here

Is that right?

<sup>1</sup> Yes <sup>2</sup> No  $\rightarrow$  If No, go to #55 on page 7

The questions in this survey will refer to the provider named in Question 1 as "this provider." Please think of that person as you answer the survey.

- 2. Is this the provider you usually see if your child needs a check-up or gets sick or hurt?
  - $\stackrel{1}{\square} Yes$   $\stackrel{2}{\square} No$
- **3.** How long has your child been going to this provider?
  - <sup>1</sup> Less than 6 months
  - <sup>2</sup> At least 6 months but less than 1 year

<sup>3</sup> At least 1 year but less than 3 years

- <sup>4</sup> At least 3 years but less than 5 years
- <sup>5</sup> 5 years or more

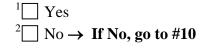
# Your Child's Care From This Provider in the Last 12 Months

These questions ask about **your child's** health care. Do **not** include care your child got when he or she stayed overnight in a hospital. Do **not** include the times your child went for dental care visits.

- 4. In the last 12 months, how many times did your child visit this provider for care?
  - None → If None, go to #55 on page 7
     1 time
     2
     3
     4
     5 to 9
     10 or more times
- 5. In the last 12 months, did you ever stay in the exam room with your child during a visit to this provider?
  - <sup>1</sup> Yes  $\rightarrow$  If Yes, go to #7 <sup>2</sup> No
- 6. Did this provider give you enough information about what was discussed during the visit when you were not there?

<sup>1</sup> Yes  $\rightarrow$  If Yes, go to #10 <sup>2</sup> No  $\rightarrow$  If No, go to #10

7. Is your child able to talk with providers about his or her health care?



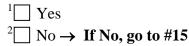
**8.** In the last 12 months, how often did this provider explain things in a way that was easy for **your child** to understand?



**9.** In the last 12 months, how often did this provider listen carefully to **your child**?



- **10.** Did this provider tell you that you needed to do anything to follow up on the care your child got during the visit?
  - <sup>1</sup> Yes <sup>2</sup> No  $\rightarrow$  If No, go to #12
- **11.** Did this provider give you enough information about what you needed to do to follow up on your child's care?
  - $^{1}$  Yes  $^{2}$  No
- **12.** In the last 12 months, did you phone this provider's office to get an appointment for your child for an illness, injury, or condition that **needed care right away**?



**13.** In the last 12 months, when you phoned this provider's office to get an appointment for care your child **needed right away**, how often did you get an appointment as soon as your child needed?



- **14.** In the last 12 months, how many days did you usually have to wait for an appointment when your child **needed care right away?** 
  - Same day
    1 day
    2 to 3 days
    4 to 7 days
    More than 7 days
- **15.** In the last 12 months, did you make any appointments for a **check-up or routine care** for your child with this provider?
  - <sup>1</sup> Yes <sup>2</sup> No  $\rightarrow$  If No, go to #17
- **16.** In the last 12 months, when you made an appointment for a **check-up or routine care** for your child with this provider, how often did you get an appointment as soon as your child needed?
  - <sup>1</sup> Never
     <sup>2</sup> Sometimes
     <sup>3</sup> Usually
     <sup>4</sup> Always

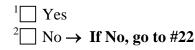
**17.** Did this provider's office give you information about what to do if your child needed care during evenings, weekends, or holidays?

1	Yes
2	No

18. In the last 12 months, did your child need care during evenings, weekends, or holidays?

<sup>1</sup> Yes	
<sup>2</sup> No $\rightarrow$	If No, go to #20

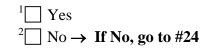
- **19.** In the last 12 months, how often were you able to get the care your child needed from this provider's office during evenings, weekends, or holidays?
  - <sup>1</sup> Never
    <sup>2</sup> Sometimes
    <sup>3</sup> Usually
    <sup>4</sup> Always
- **20.** In the last 12 months, did you phone this provider's office with a medical question about your child during regular office hours?



**21.** In the last 12 months, when you phoned this provider's office during regular office hours, how often did you get an answer to your medical question that same day?



**22.** In the last 12 months, did you phone this provider's office with a medical question about your child **after** regular office hours?



**23.** In the last 12 months, when you phoned this provider's office **after** regular office hours, how often did you get an answer to your medical question as soon as you needed?

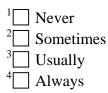


24. Some offices remind patients between visits about tests, treatment, or appointments. In the last 12 months, did you get any reminders about your child's care from this provider's office between visits?

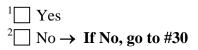


- **25.** Wait time includes time spent in the waiting room and exam room. In the last 12 months, how often did your child see this provider **within 15 minutes** of his or her appointment time?
  - <sup>1</sup> Never <sup>2</sup> Sometimes <sup>3</sup>  $\downarrow$   $\downarrow$
  - $^{3}$  Usually
  - <sup>4</sup> Always

- **26.** In the last 12 months, how often did this provider explain things about your child's health in a way that was easy to understand?
  - <sup>1</sup> Never
     <sup>2</sup> Sometimes
     <sup>3</sup> Usually
     <sup>4</sup> Always
- **27.** In the last 12 months, how often did this provider listen carefully to you?



**28.** In the last 12 months, did you and this provider talk about any questions or concerns you had about your child's health?

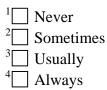


**29.** In the last 12 months, how often did this provider give you easy to understand information about these health questions or concerns?



- **30.** In the last 12 months, how often did this provider seem to know the important information about your child's medical history?
  - <sup>1</sup> Never <sup>2</sup> Sometimes <sup>3</sup> Usually
  - <sup>4</sup> Always
- **31.** In the last 12 months, how often did this provider show respect for what you had to say?
  - <sup>1</sup> Never <sup>2</sup> Sometimes
  - <sup>3</sup> Usually
  - <sup>4</sup> Always
- **32.** In the last 12 months, how often did this provider spend enough time with your child?
  - $\stackrel{1}{\square} Never$  $\stackrel{2}{\square} Sometimes$  $\stackrel{3}{\square} Usually$
  - <sup>4</sup> Always
- **33.** In the last 12 months, did this provider order a blood test, x-ray, or other test for your child?

<sup>1</sup> Yes <sup>2</sup> No  $\rightarrow$  If No, go to #35 **34.** In the last 12 months, when this provider ordered a blood test, x-ray, or other test for your child, how often did someone from this provider's office follow up to give you those results?



**35.** Using any number from 0 to 10, where 0 is the worst provider possible and 10 is the best provider possible, what number would you use to rate this provider?



**36.** Specialists are doctors like surgeons, heart doctors, allergy doctors, skin doctors, and other doctors who specialize in one area of health care. In the last 12 months, did your child see a specialist for a particular health problem?

- **37.** In the last 12 months, how often did the provider named in Question 1 seem informed and up-to-date about the care your child got from specialists?
  - <sup>1</sup> Never
     <sup>2</sup> Sometimes
     <sup>3</sup> Usually
     <sup>4</sup> Always

Please answer these questions about the provider named in Question 1 of this survey.

**38.** In the last 12 months, did you and anyone in this provider's office talk about your child's learning ability?



- **39.** In the last 12 months, did you and anyone in this provider's office talk about the kinds of behaviors that are normal for your child at this age?
  - <sup>1</sup> Yes <sup>2</sup> No
- **40.** In the last 12 months, did you and anyone in this provider's office talk about how your child's body is growing?
  - $^{1}$  Yes  $^{2}$  No
- **41.** In the last 12 months, did you and anyone in this provider's office talk about your child's moods and emotions?



- **42.** In the last 12 months, did you and anyone in this provider's office talk about things you can do to keep your child from getting injured?
  - $^{1}$  Yes  $^{2}$  No
- **43.** In the last 12 months, did anyone in this provider's office give you information about how to keep your child from getting injured?
  - $^{1}$  Yes  $^{2}$  No
- **44.** In the last 12 months, did you and anyone in this provider's office talk about how much time your child spends on a computer and in front of a TV?
  - $^{1}$  Yes  $^{2}$  No
- **45.** In the last 12 months, did you and anyone in this provider's office talk about how much or what kind of food your child eats?
  - $^{1}$  Yes  $^{2}$  No
- **46.** In the last 12 months, did you and anyone in this provider's office talk about how much or what kind of exercise your child gets?
  - $\stackrel{1}{\square} Yes$   $\stackrel{2}{\square} No$

- **47.** In the last 12 months, did you and anyone in this provider's office talk about how your child gets along with others?
  - $\frac{1}{2}$  Yes No
- **48.** In the last 12 months, did you and anyone in this provider's office talk about whether there are any problems in your household that might affect your child?
  - $^{1}$  Yes  $^{2}$  No
- **49.** In the last 12 months, did anyone in this provider's office talk with you about specific goals for your child's health?



**50.** In the last 12 months, did anyone in this provider's office ask you if there are things that make it hard for you to take care of your child's health?



**51.** In the last 12 months, did your child take any prescription medicine?

<sup>1</sup> Yes <sup>2</sup> No → If No, go to #53

**52.** In the last 12 months, did you and anyone in this provider's office talk at each visit about all the prescription medicines your child was taking?



#### Clerks and Receptionists at This Provider's Office

- **53.** In the last 12 months, how often were clerks and receptionists at this provider's office as helpful as you thought they should be?
  - <sup>1</sup> Never
     <sup>2</sup> Sometimes
     <sup>3</sup> Usually
     <sup>4</sup> Always
- **54.** In the last 12 months, how often did clerks and receptionists at this provider's office treat you with courtesy and respect?
  - <sup>1</sup> Never
  - <sup>2</sup> Sometimes
  - $^{3}$  Usually
  - <sup>4</sup> Always

#### About Your Child and You

- **55.** In general, how would you rate your child's overall health?
  - <sup>1</sup> Excellent <sup>2</sup> Very Good <sup>3</sup> Good
  - <sup>4</sup> Fair

<sup>5</sup> Poor

- **56.** In general, how would you rate your child's overall **mental or emotional** health?
  - Excellent Control Excellent Control Excellent Control Excellent Control Excellent Control Excellent
  - <sup>4</sup> Fair
  - <sup>5</sup> Poor
- **57.** What is **your child's** age?
  - Less than 1 year old
    - \_\_\_\_\_ YEARS OLD (write in)
- 58. Is your child male or female?
  - $\begin{array}{c|c}
    ^{1} & \text{Male} \\
    ^{2} & \text{Female} \\
    \end{array}$

- **59.** Is your child of Hispanic or Latino origin or descent?
  - <sup>1</sup> Yes, Hispanic or Latino <sup>2</sup> No, not Hispanic or Latino
- **60.** What is your child's race? Mark one or more.
  - <sup>1</sup> White
  - <sup>2</sup> Black or African American
  - $^{3}$  Asian
  - <sup>4</sup> Native Hawaiian or Other Pacific Islander
  - <sup>5</sup> American Indian or Alaska Native
  - <sup>6</sup>Other
- **61.** What is **your** age?
  - Under 18
    18 to 24
    25 to 34
    35 to 44
    45 to 54
    55 to 64
    65 to 74
  - 75 or older

- **62.** Are you male or female?
  - <sup>1</sup> Male <sup>2</sup> Female
- **63.** What is the highest grade or level of school that you have completed?
  - <sup>1</sup> 8th grade or less
     <sup>2</sup> Some high school, but did not graduate
     <sup>3</sup> High school graduate or GED
     <sup>4</sup> Some college or 2-year degree
  - 5 4-year college graduate
  - $^{6}$  More than 4-year college degree
- **64.** How are you related to the child?

- **65.** Did someone help you complete this survey?
  - $^{1}$  Yes
  - <sup>2</sup> No → Thank you. Please return the completed survey in the postage-paid envelope.
- **66.** How did that person help you? Mark one or more.

<sup>1</sup> Read the questions to me
<sup>2</sup> Wrote down the answers I gave
<sup>3</sup> Answered the questions for me
$^{4}$ Translated the questions into my
language
<sup>5</sup> Helped in some other way
Please print:
·

Thank you

Please return the completed survey in the postage-paid envelope.